

Annexure C

Complaints against SBI-SG Global Securities Services Pvt. Ltd. (DP)

Data for the Month ending Jan 2023 -

| SN | Received from | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** | | Average Resolution time^ (in days) |
|----|------------------------------|---|------------------------------------|------------------|-----------|---|--|---|
| | | | | | | Pending for less than 3 months | Pending for more than 3 months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | 7 | 8 |
| 1 | Directly from Investors | 0 | 0 | 0 | 0 | (|) | 0 |
| 2 | SEBI (SCORES) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 3 | Depositories | 0 | 0 | 0 | 0 | 0 | | 0 |
| 4 | Other Sources (if any) | 0 | 0 | 0 | 0 | 0 | | 0 |
| 5 | Grand Total | 0 | 0 | 0 | 0 | (|) | 0 |

Trend of monthly disposal of complaints for the Financial Year

| SN | Month | Carried forward from previous month | Received | Resolved* | Pending** |
|----|------------|-------------------------------------|----------|-----------|-----------|
| 1 | 2 | 3 | 4 | 5 | 6 |
| 1 | April 2022 | 0 | 0 | 0 | 0 |
| 2 | May 2022 | 0 | 0 | 0 | 0 |
| 3 | Jun 2022 | 0 | 0 | 0 | 0 |
| 4 | Jul 2022 | 0 | 0 | 0 | 0 |
| 5 | Aug 2022 | 0 | 0 | 0 | 0 |
| 6 | Sep 2022 | 0 | 0 | 0 | 0 |
| 7 | Oct 2022 | 0 | 0 | 0 | 0 |

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| 8 | Nov 2022 | 0 | 0 | 0 | 0 |
|----|-------------|---|---|---|---|
| 9 | Dec 2022 | 0 | 0 | 0 | 0 |
| 10 | Jan 2023 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

^{*}Should include complaints of previous months resolved in the current month, if any

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

Trend of Annual (FY) disposal of complaints (For 3 years on rolling basis)-

| SN | Year | Carried forward | Received | Resolved | Pending at |
|----|-------------|-----------------|------------|------------|----------------|
| | | from previous | during the | during the | the end of the |
| | | year | year | year | year |
| 1 | 2020-21 | 0 | 0 | 0 | 0 |
| 2 | 2021-22 | 0 | 0 | 0 | 0 |
| 3 | 2022-23 | 0 | 0 | 0 | 0 |
| | Grand Total | 0 | 0 | 0 | 0 |

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^{**}Should include total complaints pending as on the last day of the month, if any.